| 1 2 3 4 5 6 7 8 9 10 11 12 | Bonny E. Sweeney (Cal. Bar No. 1761) Christopher L. Lebsock (Cal. Bar No. 1 Samantha J. Stein (Cal. Bar No. 30203) HAUSFELD LLP 600 Montgomery Street, Suite 3200 San Francisco, CA 94111 Tel: (415) 633-1908 Fax: (415) 358-4980 E-mail: mlehmann@hausfeld.com E-mail: bsweeney@hausfeld.com E-mail: clebsock@hausfeld.com Class Counsel for the Direct Purchaser UNITED STATE | her L. Lebsock (Cal. Bar No. 184546) a J. Stein (Cal. Bar No. 302034) ELD LLP tgomery Street, Suite 3200 cisco, CA 94111 5) 633-1908 5) 358-4980 mlehmann@hausfeld.com bsweeney@hausfeld.com clebsock@hausfeld.com sstein@hausfeld.com unsel for the Direct Purchaser Class UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF CALIFORNIA | |
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| 14 15 16 | IN RE: PACKAGED SEAFOOD PRODUCTS ANTITRUST LITIGATION | Case No. 3:15-md-02670-DMS-MDD MDL No. 2670 DECLARATION OF SAMANTHA | |
| 117 118 119 220 221 222 223 224 | This filing relates to the Direct Purchaser Plaintiff Class Action Track | J. STEIN IN SUPPORT OF DIRECT PURCHASER PLAINTIFFS' MOTION FOR ATTORNEYS' FEES AND COSTS AND SERVICE AWARDS FOR THE CLASS REPRESENTATIVES IN CONJUNCTION WITH THE COSI/TUG SETTLEMENT DATE: June 17, 2022 TIME: 1:30 PM JUDGE: Dana M. Sabraw CTRM: 13A | |
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I, Samantha J. Stein, declare as follows:

- 1. I am an attorney licensed to practice law in the State of California. I am a partner at Hausfeld LLP, 600 Montgomery St., Suite 3200, San Francisco, CA, 94111. I make this declaration in support of the Direct Purchaser Plaintiffs' (the "DPPs") Motion for Attorneys' Fees and Costs and Service Awards for the Class Representatives in Conjunction with the DPPs' Settlement with Chicken of the Sea ("COSI") and Thai Union Group ("TUG"). I have personal knowledge of the facts set forth herein and, if called upon to do so, I could and would testify competently thereto.
- 2. Attached as **Exhibit A** is a true and correct copy of the Settlement Agreement between the DPPs and COSI and TUG, previously submitted as ECF No. 2674-3.
- 3. Attached as <u>Exhibit B</u> is a true and correct copy of the arbitration award issued by former federal Judge Layn Phillips following the contested arbitration on fees and costs between the DPPs and COSI/TUG, previously submitted as ECF No. 2674-5.
- 4. Class Counsel led by Court-appointed lead counsel, Hausfeld LLP ("Hausfeld") have performed significant work and expended significant resources in this case for the benefit the DPPs and the settlement class. In addition to filing the first complaint alleging antitrust claims against the Defendants anywhere in the country, Hausfeld has played a leading role throughout the litigation.
- 5. Early on, Class Counsel performed significant preliminary work to advance the case, including coordinating Protective Orders, working out an ESI Protocol, and conducting additional case research. Class Counsel also pushed for the production of documents that Defendants had previously produced to the United States Department of Justice ("DOJ") and headed the review of those over two million pages of documents prior to the filing of the amended complaints in this action. Hausfeld

coordinated with all of the other plaintiffs' counsel from the other plaintiff-tracks to draft harmonized and more detailed complaints.

- 6. Furthermore, in addition to suing Bumble Bee, StarKist, and COSI, the DPP Class has also sued: (a) TUG, COSI's parent; (b) the parent entities of Bumble Bee—Lion Capital LLP and Lion Capital (Americas), Inc. ("Lion Americas"), and Big Catch Cayman LP ("Big Catch") (collectively, the "Lion Entities"); and (c) Dongwon Industries Co., Ltd. ("Dongwon"), the parent of StarKist. None of these entities were prosecuted by DOJ, and all have denied responsibility for the actions of their subsidiaries and affiliates. However, Class Counsel, having served and received discovery from the Lion Entities, uncovered significant new facts about these entities (as well as more about Bumble Bee CEO, Chris Lischewski ("Lischewski")) and their participation in the conspiracy. Class Counsel immediately moved to add these new Defendants (*see* ECF No. 530, filed Oct. 16, 2017), and other plaintiff groups later followed Class Counsel's lead, and added them as defendants (*see*, *e.g.*, ECF No. 724-14). The Court ultimately granted the request to add the Lion Entities as Defendants.
- 7. Class Counsel hired and worked with three experts to support the DPPs' claims in this case: (1) Russell Mangum, Ph.D ("Mangum") an economist, and his former economic consulting firm Nathan Associates, Inc.; (2) Marianne DeMario ("DeMario"), an accounting expert, and her firm Spectrum Consulting Partners LLC; and (3) Gary Hamilton, Ph.D ("Hamilton"), a sociologist with expertise in Asian corporate business structures.
- 8. Mangum prepared the first expert analysis on behalf of any direct purchaser in March of 2018, submitting his initial report in support of the DPPs' class certification motion. Mangum also submitted a rebuttal report on class certification.

¹ Although the Court did not permit the DPPs to pursue claims against Lischewski, the DOJ filed a criminal action against him in May of 2018. *See United States v. Christopher Lischewski*, No. 3:18-cr-00203, ECF No. 1 (N.D. Cal., filed on May 16, 2018). He was later found guilty of violating the antitrust laws following a jury trial.

- 9. Class Counsel's work with their other experts similarly generated substantial benefits for Class Members. DeMario and Hamilton performed in-depth analyses of COSI and TUG and the relationships between these entities (as well as between StarKist and its parent entity, Dongwon) to better assess and support the DPPs' claims about vicarious liability. These experts also opposed responses by Defendants' experts—including two of COSI and TUG's accounting and attorney experts. DeMario's initial and reply reports, including tables and appendices, totaled 97 pages. Hamilton's initial and reply reports, including tables and appendices, totaled 161 pages. These experts performed detailed examinations of the record and effectively summarized the mountain of evidence supporting the DPPs' vicarious liability claims against the parent entities. Defendants also jointly deposed DeMario on two occasions and Hamilton once. These experts have played a pivotal role in the case, but their services are not free. Class Counsel have paid over \$3.8 million in expert costs.
- 10. Class Counsel were also responsible for responding to Defendants' experts—including *five* experts proffered by COSI. Class counsel deposed Defendants' class certification expert, Dr. John Johnson ("Johnson") and again cross-examined him at the class certification hearing. Then, in response to Mangum's merits report, COSI offered the opinions of two economists: Dr. Randal Heeb ("Heeb"), and Dr. Michael Moore. Class Counsel deposed both of these experts as well and later

filed *Daubert* motions against both. ECF No. 1970. COSI and TUG also put forward two other experts, Arthur Laby ("Laby") (an attorney) and Gary Kleinrichert (an accounting expert) to oppose DeMario and Hamilton's reports. Class Counsel deposed both of these witnesses and filed a *Daubert* motion against Laby. *Id.* StarKist, Dongwon, Bumble Bee, and the Lion Entities also had their own experts, including three more economists and three more attorney/accounting experts to oppose DeMario and Hamilton. Class Counsel spent significant time and resources responding to all of these experts.

- 11. In addition to undertaking extensive factual investigations and researching and drafting numerous motions and other briefs, Class Counsel have often served as the coordinators for case management related issues as well as taking and preparing for approximately 60 depositions, including traveling to Thailand to depose TUG's witnesses and Korea to depose Dongwon and StarKist's witnesses.
- 12. Additionally, Class Counsel also engaged with COSI in its role as the leniency applicant. As the leniency applicant, COSI was required to cooperate with the Plaintiffs, which involved preparing for and attending multiple evidentiary proffers.
- 13. Outside of discovery, Class Counsel were primarily responsible for leading the opposition briefing on multiple summary judgment and *Daubert* motions. And recently, Class Counsel defended the class certification order on appeal to the Ninth Circuit and then to an *en banc* panel. The Court of Appeals' *en banc* decision has not yet been issued.
- 14. The Settlement Agreement between the DPPs and COSI/TUG was the product of a multi-year negotiation with their counsel. It required two in-person mediations and numerous calls and other communications with the mediator, the Honorable Jan Adler (ret.), a former magistrate judge who sat on the bench in the

Southern District of California. The Settlement provides significant benefits to the settlement class, as outlined in the DPPs' preliminary approval motion.

- 15. With respect to the attorneys' fees and costs, a neutral arbitrator former federal District Court Judge Layn Phillips presided over the parties' contested arbitration proceeding on fees and costs in June of 2021. Both sides presented full briefing and arguments to Judge Phillips. The DPPs submitted 600 pages worth of documentation to Judge Phillips—including an accounting of costs expended and sworn declarations by Class Counsel, including lead partners from every firm relating to their lodestar and work in this case. COSI and TUG submitted 60 pages worth of material contesting and disputing the DPPs' claims for fees and costs.
- 16. While the DPPs have a substantial amount of lodestar (over \$20 million at the time of the arbitration), they primarily sought recovery of Class Counsel's out-of-pocket costs, which after six years of litigation totaled over \$4.7 million. The vast majority of those costs—over \$3.8 million—consists of fees paid to experts. There have also been a host of other expenses, such as costs incurred by the use of electronic document hosting platforms; firms that specialize in gathering ESI and other materials for the purposes of discovery; translation services; deposition service providers; process servers and litigation services; mediators and arbitrators; and court reporters. Although Class Counsel have been working on contingency for years without payment, rather than seeking attorneys' fees in the first instance, the DPPs requested that the remainder of the \$7 million amount be put in a "war chest" to defray future costs as this case moves forward to trial and as other potential discovery and expert costs arise in the future.
- 17. There were no side agreements or communications between the parties about the amounts that would be argued before Judge Phillips. Both sides approached the arbitration through a strict adversarial process.

- 18. Ultimately, Judge Phillips issued the award the attached as Exhibit B on June 25, 2021.
- 19. The DPP class representatives in this case have spent a substantial amount of time and resources on this case over the last nearly seven years. These Plaintiffs have sat for depositions, produced documents, responded to written discovery, and fulfilled their duties throughout this entire long-running litigation. They haver faithfully represented the Class during this time, participating whenever necessary and monitoring the progress of the case. Indeed, when Bumble Bee filed for bankruptcy, several class representatives were called upon to assist Class Counsel by participating in the meetings of creditors, and in particular, Olean Wholesale Grocery Cooperative sent a representative to spend an entire day at a creditor's committee meeting, traveling a significant distance to protect the Class's interests. The modest proposed service awards hardly reflect the contribution these Class Representatives have made. Neither Class Counsel nor COSI or TUG made any promises about requesting such awards.

I declare under penalty of perjury that the foregoing facts are true and correct and that this declaration was executed in San Francisco, California on March 24, 2022.

By: s/ Samantha J. Stein
Samantha J. Stein
HAUSFELD LLP
sstein@hausfeld.com
Class Counsel for the Direct
Purchaser Class

FILER'S ATTESTATION I, Michael P. Lehmann, am the ECF User whose ID and password are being used to file this document. I hereby attest that the counsel listed above has concurred in this filing. Dated: March 24, 2022 s/ Michael P. Lehmann Michael P. Lehmann HAUSFELD LLP mlehmann@hausfeld.com Class Counsel for the Direct Purchaser Class

CERTIFICATE OF SERVICE

I certify that on March 24, 2022, I filed the foregoing document and supporting papers with the Clerk of the Court for the United States District Court, Southern District of California, by using the Court's CM/ECF system. I also served counsel of record via this Court's CM/ECF system.

By: s/ Michael P. Lehmann
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Class Counsel for the Direct
Purchaser Class